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By: Tanya Parker (Signature)  
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Patent Application  
Attorney's Docket No.: SUN-P4061-JTF

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

William H. Connor

Application No.: 09/489,464

Filed: January 21, 2000

For: **METHOD AND APPARATUS FOR CON-  
CURRENCY CONTROL IN A POLICY-  
BASED MANAGEMENT SYSTEM**



Group Art Unit: 2768

Examiner: *Unassigned*

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REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed is a copy of the official Filing Receipt marked in red to identify the corrections  
needed. The corrections are as follows:

The inventor's name should read: **William H. Connor**

- ☒ No fee is due with this communication.  
☐ The statutory fee of \$25.00 is enclosed.

Issuance of a corrected Filing Receipt is respectfully requested.

Respectfully submitted,

Dated: March 16, 2000

By: A. Richard Park  
A. Richard Park  
Registration No. 41,241

PARK & VAUGHAN LLP  
508 Second Street, Suite 201  
Davis, CA 95616

**FILING RECEIPT**

\*OC000000004985418\*

**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**Address: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/489,464	01/21/2000	2768	798	SUN-P4061-JTF	4	26	3

PARK & VAUGHAN  
508 SECOND STREET  
SUITE 201  
DAVIS, CA 95616

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Date Mailed: 03/08/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

**Applicant(s)**

William H. Connor, Boulder, CO ;

**Continuing Data as Claimed by Applicant**

THIS APPLICATION IS A PRO OF 60/165,552 11/15/1999

**Foreign Applications**

Foreign filing license granted on 03/08/2000

**Title**

Method and apparatus for concurrency control in a policy-based management system

**Preliminary Class**

705

Data entry by : DILLON, LAWANDA

Team : OIPE

Date: 03/08/2000

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Bib Data Sheet

UNITED STATES DEPARTMENT OF  
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Patent and Trademark OfficeAddress: COMMISSIONER OF PATENTS AND TRADEMARKS  
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<b>SERIAL NUMBER</b> 09/489,464	<b>FILING DATE</b> 01/21/2000 <b>RULE</b> -	<b>CLASS</b> 705	<b>GROUP ART UNIT</b> 2768	<b>ATTORNEY DOCKET NO.</b> SUN-P4061-JTF
<b>APPLICANTS</b> William H. Connor, Boulder, CO ; ✓				
<b>** CONTINUING DATA *****</b> THIS APPLN CLAIMS BENEFIT OF 60/165,552 11/15/1999 <i>verified</i>				
<b>** FOREIGN APPLICATIONS *****</b> <i>None</i>				
<b>IF REQUIRED, FOREIGN FILING LICENSE</b> <b>GRANTED ** 03/08/2000</b>				
Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after met Verified and Acknowledged <i>Manjiv</i> Examiner's Signature Initials		<b>STATE OR COUNTRY</b> CO	<b>SHEETS DRAWING</b> 4	<b>TOTAL CLAIMS</b> 26
				<b>INDEPENDENT CLAIMS</b> 3
<b>ADDRESS</b> 22835				
<b>TITLE</b> Method and apparatus for concurrency control in a policy-based management system				
<b>FILING FEE RECEIVED</b> 798	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input checked="" type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	

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